

Lexington Financial Center 250 West Main Street, Suite 1600 Lexington, Kentucky 40507-1746 859.233.2012 Fax: 859.259.0649



March 18, 2011

VIA U.S. MAIL

Virginia W. Gregg, Esq. Commonwealth of Kentucky Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602-0615

> RE: Subpoena Duces Tecum, Jeff Derouen Eastern District of Kentucky Bankruptcy Court Case No. 10-70767, Richard Dow Williams and Pamela Jean Williams

Dear Ms. Gregg.:

Please find enclosed the original and one copy of the Subpoena Duces Tecum for the Public Service Commission Executive Director, Jeff Derouen, for his appearance and document production at the March 17th hearing in the above-styled bankuptcy case.

Thank you for your assistance in this matter.

Sincerely,

WYATT, TARRANT & COMBS, LLP

Patricia J- Wast

Patricia F. West Paralegal

PFW/pfw Enclosure

30583900.1

UNITED STATES BANKRUPTCY COURT

Eastern

District of Kentucky

Richard Dow Williams In re Pamela J. Williams

Debtor

SUBPOENA IN A CASE UNDER THE BANKRUPTCY CODE

Case No. * 10-70767-TNW

Jeff Derouen To: Commonwealth of Kentucky Public Service Commission 211 Sower Blvd. Frankfort, Kentucky 40602-0615 Chapter_ 12

VOU ARE COMMANDED to appear in the United States Bankruptcy Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY	COURTROOM
	Third Floor
100 East Vine Street, Lexington, Kentucky 40507	DATE AND TIME
	Thursday, March 17, 2011 at 9:30 a.m.

YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case. DATE AND TIME

YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects):

A full and complete copy of all documents in your possession or control related to B.T.U. Gas Company, Inc. for the years 2003 through 2007, inclusive, as they exist in the official records of case number 2007-00403 before the Public Service Commission, or in the records of the Public Service Commission, generally, including annual reports and any and all documents related thereto, including the Order of the Public Service Commission entered September 23, 2010, and the Petition to Revise Penalty Payment Schedule received on October 11, 2010 and signed by William Kirkland, counsel to B. T. U. Gas Company.

PLACE United States Bankruptcy Court	DATE AND TIME
Eastern District of Kentucky 100 East Vine Street, Third Floor Lexington, Kentucky 40507	Thursday, March 17, 2011, at 9:30 a.m.

YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES	DATE AND TIME	

Any organization not a party to this proceeding that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Rule 30(b)(6), Federal Rules of Civil Procedure, made applicable in bankruptcy cases and proceedings by Rules 1018, 7030, and 9014, Federal Rules of Bankruptcy Procedure.

ISSUING OFFICER SIGNATURE AND TIPLE		DATE
may L July L	Counsel for the Fontaines	March 16, 2011
ISSUING OFFICER'S NAME, ADDRESS, AND PHONE NUMBER Mary L. Fullington, Wyatt, Tarrant & Combs, LLP, 250 (859) 233-2012	West Main Street, Suite 1600, Lex	kington, Kentucky 40507-1746

* If the bankruptcy case is pending in a district other than the district in which the subpoena is issued, state the district under the case number.

PROOF OF SERVICE

	DATE	PLACE	
SERVED		- 	
SERVED ON (PRINT NAME)			MANNER OF SERVICE
SERVED BY (PRINT NAME)			TITLE
	· · · · · · · · · · · · · · · · · · ·		1

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on

DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), as amended on December 1, 2007, made applicable in cases under the Bankruptcy Code by Rule 9016, Federal Rules of Bankruptcy Procedure:

(c) Protecting a Person Subject to a Subpoena.

 (1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction — which may include lost earnings and reasonable attorney's fees — on a party or attorney who fails to comply.
 (2) Command to Produce Materials or Permit Inspection.

 (3) Quashing or Modifying a Subpoena.
 (3) Quashing or Modifying a Subpoena.
 (A) When Required. On timely motion, the issuing court must quash or modify a subpoena that:

(i) rais to anow a reasonable line to comply; (ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person — except that, subject to Rule 45(c)(3(B)(ii)), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held;

(iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or

(iv) subjects a person to undue burden.

(B) When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires: (i) disclosing a trade secret or other confidential research, development, or

commercial information;

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party; or

(iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial

substantial expense to travel more than 100 miles to attend trial (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party: (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and (ii) ensures that the subpoenaed person will be reasonably compensated.

(C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.

(D) Inaccessible Electronically Stored Information. The person responding

(ii) describe the nature of the withheld documents,
 communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
 (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information must preserve the information of the claim. The person who produced the information must preserve the information until the claim is resolved.

(e) Contempt. The issuing court may hold in contempt a person who, having been served, fails without The issuing court may not account a person who, may be a solution of the maximum values of the subpoena A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii). B256 (Form 256 - Subpoena in a Case under the Bankruptcy Code) (12/07)

UNITED STATES BANKRUPTCY COURT

Eastern

Richard Dow Williams In re Pamela J. Williams

To:

Debtor

SUBPOENA IN A CASE UNDER THE BANKRUPTCY CODE

DATE AND TIME

Case No. * 10-70767-TNW

Jeff Derouen Commonwealth of Kentucky **Public Service Commission** 211 Sower Blvd. Frankfort, Kentucky 40602-0615 Chapter_ 12

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Lexington, Kentucky 40507	••••••••••••••••••••••••••••••••••••••

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Any organization not a party to this proceeding that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Rule 30(b)(6), Federal Rules of Civil Procedure, made applicable in bankruptcy cases and proceedings by Rules 1018, 7030, and 9014, Federal Rules of Bankruptcy Procedure.

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ISSUING OFFICER'S NAME, ADDRESS, AND PHONE NUMBER Mary L. Fullington, Wyatt, Tarrant & Combs, LLP, 250 (859) 233-2012	0 West Main Street, Suite 1600, Lex	ington, Kentucky 40507-1746	-

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District of Kentucky

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	DATE	PLACE	
SERVED			
SERVED ON (PRINT NAME)			MANNER OF SERVICE
SERVED BY (PRINT NAME)	·		TITLE
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(A) Appearance Not Required. A person commanded to produce documents,
 electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
 (B) Objections. A person commanded to produce documents or tangible things or to

from

(3) Quashing or Modifying a Subpoena. (3) Quashing or Modifying a Subpoena. (A) When Required. On timely motion, the issuing court must quash or modify a subpoena that:

(i) fails to allow a reasonable time to comply; (ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person — except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held; (iii) requires disclosure of privileged or other protected matter, if no

exception or waiver applies; or

(iv) subjects a person to undue burden. (B) When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires: (i) disclosing a trade secret or other confidential research, development, or

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party; or (iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party: (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and (iii) ensures that the subpoeneed person will be reaconable component.

(ii) ensures that the subpoenaed person will be reasonably compensated.

(C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form

one form. (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery

Nonetheless order discovery from such sources in the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.
(2) Claiming Privilege or Protection.

(A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
(i) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
(B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified, and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information nutil the claim is resolved. information until the claim is resolved.

(e) Contempt. The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii).